

# Divergence rates of subviral pathogens of angiosperms abruptly decreased at the Cretaceous-Paleogene boundary

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#### Abstract

Biogeographic distribution of infected plants and the continental drift theory allow a tentative time calibration of the phylogenetic tree of Pospiviroidae. Hypothetically, viroids evolved in the late Early Cretaceous shortly after the appearance of angiosperms, which constitute their only known hosts. No decline in the estimated divergence rates of Pospiviroidae is observed during the Late Cretaceous but it appears that they abruptly decreased at the Cretaceous-Paleogene boundary. However, an adaptive radiation of Pospiviroidae which occurred in the late Paleocene may reflect a recovery from the Cretaceous-Paleogene (K–Pg) mass extinction. It seems that the evolutionary history of viroids has been in part shaped by radiation and extinction events of angiosperms. Herein, for the first time I show the probable impact of a mass extinction event on the divergence rates of subviral pathogens, which are the simplest known "lifeforms".

#### **Keywords**

mass extinctions, viroids, paleovirology

# Introduction

Mass extinction events play a fundamental role in shaping the biosphere (Raup and Sepkoski 1982; Novacek 1999; McElwain and Punyasena 2007; Chin et al. 2013; Niedźwiedzki et al. 2016). Wang et al. (2011) suggested that mass extinctions might have also impacted on the evolutionary history of viruses. This is a reasonable concept since

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the evolution of viruses should have been in part shaped by the history of their hosts (see Thézé et al. 2011). However, data demonstrating the impact of global mass extinctions on the diversity or divergence rates of viral or subviral pathogens have never been discussed.

Viroids are small (246–399 nucleotides), unencapsidated, single-stranded, circular RNAs, which are known to infect solely angiosperm plants (Diener 1971, 2003; Góra-Sochacka 2004; Flores et al. 2005). Viroids differ from viruses by a much smaller genome size and, in contrast to viruses, do not code for any protein. Viroids are classified as subviral particles and, alongside small linear and circular satellite RNAs, constitute the smallest pathogens and "lifeforms" known (Elena et al. 1991; Flores et al. 2014; AbouHaidar et al. 2016). Viroids appear to be evolutionarily related to satellite RNAs, including the human Hepatitis delta virus (Elena et al. 1991, 2001). However, in contrast to satellite RNAs, viroids complete their infectious cycle without resorting to a helper virus (Daròs et al. 2006). Viroids are divided into two families, Pospiviroidae and Avsunviroidae, which replicate either in the nuclei or in the chloroplasts, respectively (Tsagris et al. 2008; Flores et al. 2012; Rao and Kalantidis 2015).

In this paper, I present a time-calibrated phylogenetic tree of members of the family Pospiviroidae. I also estimate the divergence rates of Pospiviroidae throughout the Cretaceous and the Paleogene. The hypothetical impact of the Cretaceous–Paleogene (K–Pg) extinction event on the divergence rates of this viroid family is discussed.

# Material and methods

Evolutionary analyses involved 34 nucleotide sequences of viroids belonging to the families Pospiviroidae (30 sequences) and Avsunviroidae (4 sequences) (Table 1), which were downloaded from the National Center for Biotechnology Information, U.S. National Library of Medicine. Sequence alignment was performed by the MUS-CLE algorithm. The analyses were conducted in MEGA X (Kumar et al. 2018) under Debian/GNU Linux 9.6. "Stretch".

#### Phylogeny

The phylogenetic tree of viroids was constructed by using the Maximum Likelihood method and General Time Reversible model (Nei and Kumar 2000). The tree with the highest log likelihood (-11928.92) is shown in Fig. 1. The percentage of trees in which the associated taxa clustered together is shown next to the branches. Initial tree for the heuristic search was obtained automatically by applying Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using the Maximum Composite Likelihood (MCL) approach, and then selecting the topology with superior log likelihood value. The tree is drawn to scale, with branch lengths measured in the number of substitutions per site (Fig. 1).

Accession	Sequence	Viroid	Genus	Family
number	length			
NC 000885.1	360	Tomato chlorotic dwarf viroid	Pospiviroid	Pospiviroidae
NC 001340.1	329	Apple scar skin viroid	Apscaviroid	Pospiviroidae
NC 001351.1	302	Hop stunt viroid	Hostuviroid	Pospiviroidae
NC 001410.1	247	Avocado sunblotch viroid	Avsunviroid	Avsunviroidae
NC 001462.1	246	Coconut cadang-cadang viroid	Cocadviroid	Pospiviroidae
NC 001464.1	371	Citrus exocortis viroid	Pospiviroid	Pospiviroidae
NC 001471.1	254	Coconut tinangaja viroid	Cocadviroid	Pospiviroidae
NC 001553.1	360	Tomato apical stunt viroid	Pospiviroid	Pospiviroidae
NC 001558.1	360	Tomato planta macho viroid	Pospiviroid	Pospiviroidae
NC 001651.1	315	Citrus bent leaf viroid	Apscaviroid	Pospiviroidae
NC 001830.1	315	Pear blister canker viroid	Apscaviroid	Pospiviroidae
NC 001920.1	366	Grapevine yellow speckle viroid 1	Apscaviroid	Pospiviroidae
NC 002015.1	356	Chrysanthemum stunt viroid	Pospiviroid	Pospiviroidae
NC 002030.1	359	Potato spindle tuber viroid	Pospiviroid	Pospiviroidae
NC 003264.1	292	Citrus dwarfing viroid	Apscaviroid	Pospiviroidae
NC 003463.1	306	Apple dimple fruit viroid	Apscaviroid	Pospiviroidae
NC 003538.1	370	Columnea latent viroid	Pospiviroid	Pospiviroidae
NC 003539.1	284	Citrus viroid IV virus	Cocadviroid	Pospiviroidae
NC 003540.1	399	Chrysanthemum chlorotic mottle viroid	Pelamoviroid	Avsunviroidae
NC 003553.1	369	Australian grapevine viroid	Apscaviroid	Pospiviroidae
NC 003611.1	256	Hop latent viroid	Cocadviroid	Pospiviroidae
NC 003613.1	370	Iresine viroid 1	Pospiviroid	Pospiviroidae
NC 003636.1	337	Peach latent mosaic viroid	Pelamoviroid	Avsunviroidae
NC 003637.1	360	Mexican papita viroid	Pospiviroid	Pospiviroidae
NC 003777.1	371	Apple fruit crinkle viroid	unclassified	Pospiviroidae
NC 003882.1	295	Coleus blumei viroid	Coleviroid	Pospiviroidae
NC 004359.1	330	Citrus viroid VI	Apscaviroid	Pospiviroidae
NC 010165.1	294	Citrus viroid V	Apscaviroid	Pospiviroidae
NC 010308.1	396	Persimmon viroid	unclassified	Pospiviroidae
NC 011590.1	348	Pepper chat fruit viroid	Pospiviroid	Pospiviroidae
NC 020160.1	342	Dahlia latent viroid	Hostuviroid	Pospiviroidae
NC 027432.1	351	Portulaca latent viroid isolate Vd21	Pospiviroid	Pospiviroidae
NC 028131.1	328	Grapevine latent viroid	unclassified	Pospiviroidae
NC 039241.1	333	Eggplant latent viroid	Elaviroid	Avsunviroidae

Table 1. Nucleotide sequences involved in this study.

## Time calibration

Members of the family Avsunviroidae were used to root the phylogenetic tree of Pospiviroidae. Divergence times of branches of Pospiviroidae were computed in MEGA X (see Mello 2018) based on five calibration points (A–E) (Fig. 2).

(A) (maximal divergence time: 100 mya) – Nearly 90% of viroids of this branch infect primarily angiosperms native to South America. Hypothetically, viroids of the branch A diverged after the separation of South America from other continents, which occurred 100 mya (Seton et al. 2012). However, some viroids have crossed this biogeographic barrier or have been recently spread by plant cultivators.

- (B) (maximal divergence time: 100 mya) Viroids of the branch B constitute the sister group of the branch A viroids. However, 75% of viroids of the branch B infect plants native to the Old World and North America. Noteworthy, the *Iresine viroid 1* infects traded ornamental plants of a varied provenance including certain South American species but is known only from Europe, North America and Asia (Verhoeven et al. 2017). Viroids of the branch B have hypothetically diverged after the separation of South America from North America and Africa.
- (C) (minimal divergence time: 100 mya) The branch C encompasses the subbranches A and B. As it contains both typically South American viroids (branch A) and non-South American viroids (branch B), their divergence have likely occurred before the separation of South America from other continents and hence earlier than 100 mya (see Seton et al. 2012).
- (D) (maximal divergence time: 100 mya) This branch includes viroids infecting plants native to Asia and North America. None of these viroids infects South American plants. They would have likely diverged after the separation of South America from other continents.
- (E) (maximal divergence time: 100 mya) This is a big branch of viroids which are unknown to infect South American angiosperms. The branch E viroids would have likely diversified after the separation of South America from other continents.

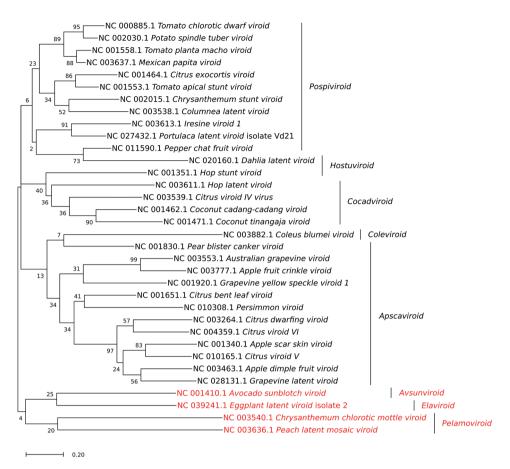
# **Divergence** Rates

The time-calibrated phylogenetic tree (Fig. 2) was used to estimate the divergence rates of Pospiviroidae in different epochs of the Cretaceous and the Paleogene periods. Divergence/speciation rate is understood as the number of divergence events divided by epoch duration. Calculations are provided in the Table 2. Duration of given epochs was estimated based on the International Chronostratigraphic Chart v. 2018/08 (Cohen et al. 2013, updated 2018).

# Results

#### Phylogeny

Monophyly of the families Pospiviroidae and Avsunviroidae was supported by the initial tree obtained in the analyses, as these families form two separate branches (Fig. 1). However, all attempts to build a bootstrap consensus tree resulted in placing Avsunviroidae as a subgroup within the much larger family Pospviroidae (Fig. 2). Results of the molecular dating suggest that Pospiviroidae appeared  $\approx$ 116.6 mya (Fig. 2). Depending on the phylogenetic position of Avsunviroidae, this dating either matches or closely approximates also to the divergence time of all the crown-group viroids. It corresponds to the Aptian Age of the Early Cretaceous Epoch (Cohen et al. 2013, updated 2018).

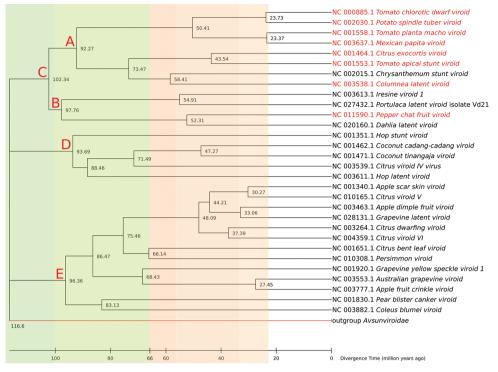


**Figure 1.** Phylogenetic tree of viroids including Pospiviroidae (in black) and Avsunviroidae (in red). Assignment of the Dahlia latent viroid to the genera *Pospiviroid* or *Hostuviroid* is problematic (Verhoeven et al. 2013).

The constructed phylogenetic trees (Figs 1, 2) are fairly comparable to results obtained by others by the use of distinct algorithms. However, more nucleotide sequences are involved than in earlier studies (Elena et al. 1991, 2001; Owens et al. 2012; Verhoeven et al. 2013; Di Serio et al. 2018).

#### **Divergence** Rates

Divergence rates of Pospiviroidae had been growing since their appearance in the late Early Cretaceous until the end of the Cretaceous Period (Table 2; Fig. 3). No decline in the estimated divergence rates is observed during the latest Cretaceous. Conversely, the Maastrichtian Age (72.1–66 mya) was characterized by the highest divergence rates (0.491) of Pospiviroidae during the Cretaceous Period.



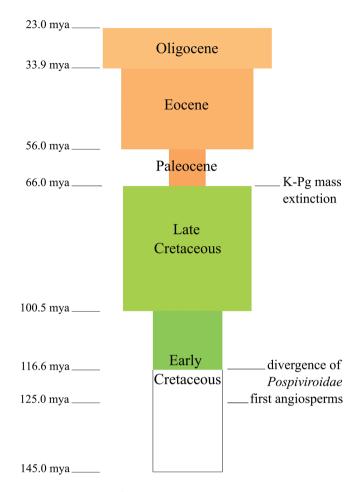
**Figure 2.** Time-calibrated phylogenetic tree of Pospiviroidae. **A–E** Calibration points (see Material and Methods). Viroids typically infecting plants native to South America are marked in red.

Period	Cretaceous		Paleogene		
Epoch	Early Cretaceous	Late Cretaceous	Paleocene	Eocene	Oligocene
Divergence events	3	12	1	8	5
Epoch duration (million years)	16.1*	34.5	10	22.1	10.87
Divergence rates (divergence events / epoch duration)	0.186	0.347	0.100	0.361	0.459

Table 2. Divergence rates of Pospiviroidae throughout the Cretaceous and the Paleogene.

\*since the divergence of viroids 116.6 million years ago

Divergence rates of Pospiviroidae abruptly decreased at the Cretaceous–Paleogene boundary. On the constructed phylogenetic tree, no single divergence event is recorded for the Danian and Selandian ages of the early and middle Paleocene Epoch. In other words, there are no recorded divergence events for the first 7.59 million years following the K–Pg mass extinction (Fig. 2). However, an adaptive radiation of Pospiviroidae occurred by the late Paleocene and the divergence rates were growing throughout the Eocene and the Oligocene (Table 2; Fig. 3).



**Figure 3.** Relative divergence rates of Pospiviroidae symbolized by the column width; drawn based on the Table 2.

## Discussion

Because there is no fossil record of viruses, paleovirology relies on analyses of modern genetic information (Patel et al. 2011; Aswad and Katzourakis 2012; Feschotte and Gilbert 2012; Taylor et al. 2014). The origin of certain virus groups has been dated back to the Mesozoic (Suh et al. 2013, 2014) and even to the Paleozoic (Thézé et al. 2011). It appears that a possible impact of mass extinctions on the evolution of viruses and subviral pathogens might be relevant at least in case of the end-Permian (251.9 mya), end-Triassic (201.3 mya), and the end-Cretaceous (66 mya) events.

Viroids and viroid-like satellite RNAs have been suggested to possibly represent relics of the primordial RNA world (Diener 1989; Chela-Flores 1994; Flores et al. 2014), which

hypothetically existed before the beginning of cellular life about 4 billion years ago (Gilbert 1986; Cech 2012). This concept is based on the small and circular genome and no proteincoding capacity in all viroids, and also on the presence of hammerhead ribozymes in members of the family Avsunviroidae, which act as catalitic RNAs, as expected for primitive selfreplicating lifeforms of the primordial RNA world (Diener 1989; Chela-Flores 1994; Flores et al. 2014). Since members of the family Avsunviroidae replicate in chloroplasts, their ancestor could hypothetically infect endosymbiotic cyanobacteria (Chela-Flores 1994).

However, members of the family Avsunviroidae are not capable of replication without resorting to a host despite their ribozymatic activity (Rao and Kalantidis 2015). They are solely known to infect angiosperms (an evolutionarily young group) and unknown to replicate in chloroplasts of other eukaryotes. On the other hand, viroids are usually described from cultivated plants, such as fruit trees, vegetables, and ornamental plants, whereas pathogens of most plant groups seem poorly studied. However, the Early Cretaceous origin of viroids inferred from molecular dating in this study and their close association with angiosperm plants do not support the hypothesis of viroids as relics from the primordial RNA world. Angiosperms, which constitute the only known hosts of viroids, evolved over 124.6 mya (Sun et al. 2002), which was soon followed by the appearance of viroids  $\approx$ 116.6 mya, as inferred from molecular dating (Fig. 3). Possible pre-Cretaceous origin of angiosperm plants is still a subject of debate (Herendeen et al. 2017; Wang 2017; Fu et al. 2018).

The last common ancestor of the closely related Coconut cadang-cadang and Coconut tinangaja viroids infecting coconut palms (Gitau et al. 2009) is dated to  $\approx$ 47.27 mya based on molecular data analyzed in this study (Fig. 2). Interestingly, this would imply their split shortly after the appearance of coconut palms, which evolved in the early Eocene (Shukla et al. 2012). However, certain viroids are capable of infecting a variety of host taxa (Verhoeven et al. 2017). Rather than to simply mirror the taxonomy of infected angiosperms the phylogenetic tree of viroids has been also shaped by the paleobiogeographic distribution of hosts and the continental drift (Fig. 2).

The estimated divergence rates of Pospiviroidae were particularly low in the Paleocene Epoch (Table 2; Fig. 3), which may be interpreted as a possible effect of the end-Cretaceous extinction. The K–Pg mass extinction event caused a disappearance of  $\approx$ 57% of all plant species in North America (Wilf and Johnson 2004). Decrease in host population size and host isolation would have probably led to a mass extinction of viruses (Wang et al. 2011). Viroids can be transmitted by a direct contact between plants, seeds, pollen, and occasionally by insects when encapsidated by a virus (Syller et al. 1997; Card et al. 2007; Van Bogaert et al. 2014). Studies on insect-feeding damages of angiosperm leaves suggest a disappearance of most specialized plant–insect associations at the K–Pg boundary (Labandeira et al. 2002) and severely unbalanced food webs in North America (Wilf et al. 2006). However, such alterations in the plant–insect interactions have not been observed in the Paleocene ecosystems of Europe (Wappler et al. 2009). It should be also noted that insect vectors appear to have a smaller relevance for viroid epidemiology than in the case of viruses (Van Bogaert et al. 2014).

# Limitations of the method

Molecuar dating in this study relies on the geographic provenance of viroids and their hosts. This is particularly important for the branch A that includes viroids infecting predominantly plants native to South America (Fig. 2). For example, the Potato spindle tuber viroid infects mainly *Solanum tuberosum* (potato), *Solanum lycopersicum* (tomato), and *Capsicum annuum* (pepper), which are all native to South America, whereas infections in other hosts are symptomless (Owens and Verhoeven 2009). However, the origin of viroids is often difficult to trace, which is caused by the human activity. For example, the Citrus exocortis viroid is well-known to infect citrus trees in Australia, which are native to Asia. However, it also infects tomato plants in Asia (Mishra et al. 1991), which are native to South America. The Citrus exocortis viroid is in fact closely related to several viroids typically infecting plants native to South America (Fig. 2).

Reliability of the method used in this study is partly dependent on the sample size. Since viroids constitute a small (or a poorly studied) group of pathogenic agents, nucleotide sequences of only 30 members of Pospiviroidae could be used to build the phylogenetic tree, whereas 4 members of Avsunviroidae were used for tree rooting (Table 1). The International Committee on Virus Taxonomy currently recognizes only 32 viroid species (Di Serio et al. 2017), which is even fewer than the 34 sequences studied herein. Notably, there are no divergence events recorded for the Santonian and Bartonian ages of the mid-Late Cretaceous and mid-Eocene epochs, respectively. This is possibly an effect of the poor sampling because the Santonian and the Bartonian are among the shortest ages of the interval studied (see Cohen et al. 2013, updated 2018). For this reason, more reliable results are obtained if the divergence rates are calculated for epochs (longer intervals) rather than for ages (Table 2; Fig. 3). On the other hand, the particularly long-lasting (8-million-years-long) lack of divergence events, which followed the K–Pg mass extinction event, is here tentatively interpreted as a biotic event.

It should be noted that speciation rates inferred from extant species data are underestimated because the phylogenetic trees are obtained by suppressing all extinct lineages (Stadler 2011). For the same reason, the methodology applied herein cannot estimate the extinction rates. Nevertheless, this study shows that relative divergence rates of Pospiviroidae varied between geologic epochs. These differences may reflect paleoecologic changes and particularly events concerning the biodiversity of infected angiosperm plants or possibly interactions of plants with vectors (such as insects) transmitting plant viruses and viroids.

The lack of divergence events during the Neogene and the Quaternary on the constructed phylogenetic tree can be explained as an artifact caused by evolution on a subspecies level. Only nucleotide sequences arbitrarily classified as separate viroid "species" in literature and the NCBI database were included. The analyses have not involved different isolates of the same viroid.

# Conclusions

The evolution of Pospiviroidae might have been partly shaped by the evolutionary history of their hosts. It appears that a collapse of food chains (the lack of appropriate vectors transmitting pathogens) and a mass extinction of species (the paucity and isolation of infected hosts) may severely impact viral and subviral pathogens resulting in a decrease of their divergence rates. This hypothetically occurred during the K–Pg mass extinction but results obtained in this study are preliminary and require a thorough testing in future research. As viroids constitute a small group, the study of divergence rates of viruses would provide an important control. Intriguingly, given their abundance in most environments, viruses and subviral pathogens might potentially be useful in the study of the state of the biosphere.

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# **Author contribution**

Piotr Bajdek conceived and designed the experiments, contributed analysis tools, performed the experiments, analyzed the data, prepared figures and tables, and wrote the manuscript.

#### Table 3.

Authors	Contribution	ACI
PB	1.00	NA

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